Liquid Capital Statement

for the month of **31-JAN-22**

of M/s. Axis Global Limited

Submission Date 14-FEB-2022 14:31:48

	SUDMISSION DATE 14-FEB-2022 14:31:48 Page 1 Of 3				
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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value	
1	Assets				
1.1	Property & Equipment	1,213,431	1,213,431		
1.2	Intangible Assets	2,500,000	2,500,000		
1.3	Investment in Govt. Securities				
1.4	Investment in Debt. Securities If listed than:				
	i. 5% of the balance sheet value in the case of tenure upto 1 year.				
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.				
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:				
	i. 10% of the balance sheet value in the case of tenure upto 1 year.				
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.				
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.				
1.5	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	16,222,096	2,433,314	13,788,782	
	respective securities whichever is higher.	10,222,030	2,400,014	10,700,702	
	ii. If unlisted, 100% of carrying value.				
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.				
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	23,963,541	23,963,541		
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are				
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged				
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in				
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries				
1.7	Investment in associated companies/undertaking				
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities				
	whichever is higher. ii. If unlisted, 100% of net value.				
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,805,073	2,805,073		
	or any other entity.				
1.9	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	50,850,000	0	50,850,000	
1.10	Other deposits and prepayments	50,000	50,000		
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities				
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties				
1.13	Dividends receivables.				
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement				
	shall not be included in the investments.)				
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	917,000	0	917,000	
	PLUS ii. Advance tax to the extent it is netted with provision of taxation.				
	iii. Receivables other than trade receivables	8,798,528	8,798,528		
1.16	Receivables from clearing house or securities exchange(s)				
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.				
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	6,753,744	0	6,753,744	
1.17	Receivables from customers				
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	19,156,638	15,344,647	15,344,647	
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.				
	i. Lower of net balance sheet value or value determined through adjustments.				
	 ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut 				
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as				
	collateral upon entering into contract,				
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	29,028,344	0	29,028,344	
	iv. Balance sheet value	, ,			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	19,491,424	17,174,349	17,174,349	
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of				
	securities held as collateral after applying VaR based haircuts.				
1 10	vi. 100% haircut in the case of amount receivable form related parties.				
1.18	Cash and Bank balances i. Bank Balance-proprietory accounts	742,063	0	742,063	

Liquid Capital Statement

for the month of **31-JAN-22**

of M/s. Axis Global Limited

Submission Date 14-FEB-2022 14:31:48

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11 Incide Psychia			186,242,711	74,282,883	138,349,758
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2:2 Current Liabilities 2.388,291 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258 0 3.568,258,251 3.568,258,251 3.568,258,251		ii. Payable against leveraged market products			
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v. Current jortion of fong term labilities. — — v. Device of tabilities and included in the financial statements. — — v. Current labilities are accounting principles and included in the financial statements. — — v. Current labilities are accounting principles and included in the financial statements. — — v. Current labilities are accounting principles and includes in the representation of financing obtained from a signific financing degrin financing agains financing the same agains financing agains financing these agains financing			306,832	0	306,832
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respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed w. Other liabilities as per accounting principles and included in the financial statements. w. Other liabilities as per accounting principles and must clearly SFCP are allowed to be deducted: the Schedule lip povides that 100% harcus vilic ballowed against subdarined Loans which fulfill the conditions specified by SFCP. In this regard, following conditions are specified:					
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C. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in its use of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Audito's satisfied that such advance is against the increase of capital. w. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities are per accounting principles and included in the financial statements v. Other liabilities are per accounting principles and must clearly reflect the amount to be deducted: The Schedule III provides that 100% hard or stamp paper and must clearly reflect the amount to be repaid a. Loan agreement must be excluded or as the paper part of the manuel to be repaid a. Incase of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. v. In this regard, following conditions specified by SCP v. In the statement that be submitted to exchange. v. In the statement that be submitted to exchange. v. In the statement must be submitted to exchange. v. In the statement must be submitted to exchange. v. In the statement must be submitted to exchange. v. Concentration in Margin Financing Total Liabilities Relating to: v. Concentration in Margin Financing Concentration in Margin Financing Concentration in Margin Financing Concentration in Margin Financing v. Concentration in Margin Financing v. Other market value of socurities pledged as margins exceed the 110% of the market value of shares v. Other market value of socurities pledged as margins exceed the 110% of the market value of shares v. Other market value of the torus of the market value of shares					
d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Image: Complete Completed Complete					
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3 Ranking Liabilities Relating to :		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
3 Ranking Liabilities Relating to :	2.5	Total Liabilitas	82 348 519	0	82 348 519
3.1 Concentration in Margin Financing			02,040,013	0	02,040,013
The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 7,546,187					
3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (ii) The market value of securities pledged as margins exceed the 110% of the market value of shares 3.3 Net underwriting Commitments (i) (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. (i) the value by which the underwriting commitments exceeds the market price of the securities. (i) the value by which the underwriting commitments (ii) the value by the net underwriting commitments 3.4 Negative equity of subsidiary (ii) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (iii) the subsidiary exceed the total lassets of the subsidiary (iii) the net value agreements and foreign currency positions 5.5 Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Pay		The amount calculated client-to- client basis by which any amount receivable from any of the	7,546,187	7,546,187	7,546,187
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3.6 Amount Payable under REPO		5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
	3.6				
	3.7				

Liquid Capital Statement

for the month of **31-JAN-22**

of M/s. Axis Global Limited

Submission Date 14-FEB-2022 14:31:48

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	242,184	242,184	242,184
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	14,504,134	14,504,134
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
0.11	after applying haircuts.	7 700 074	00.000.505	00.000 505
3.11	Total Ranking Liabilites	7,788,371	22,292,505	22,292,505
3.12	Liquid Capital	96,105,821	51,990,378	33,708,734

Liquid Capital Statement

for the month of **28-FEB-22**

of M/s. Axis Global Limited

Submission Date 15-MAR-2022 13:18:28

	SUBMISSION DATE 15-MAR-2022 13:18:28 Page 1 Of 3				
s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value	
1	Assets				
1.1	Property & Equipment	1,213,431	1,213,431		
1.2	Intangible Assets	2,500,000	2,500,000		
1.3	Investment in Govt. Securities Investment in Debt. Securities				
	If listed than:				
	i. 5% of the balance sheet value in the case of tenure upto 1 year.				
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.				
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:				
	i. 10% of the balance sheet value in the case of tenure upto 1 year.				
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.				
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities				
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	14,901,169	2,235,175	12,665,994	
	respective securities whichever is higher.				
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money				
	provided that shares have not been alloted or are not included in the investments of securities broker.				
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	22,456,765	22,456,765		
	Provided that 100% haircut shall not be applied in case of investment in those securities which are				
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged				
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)				
1.6	Investment in subsidiaries				
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities				
	whichever is higher.				
	ii. If unlisted, 100% of net value.				
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	2,805,073	2,805,073		
1.9	Margin deposits with exchange and clearing house.	39,100,763	0	39,100,763	
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	3,050,000	3,050,000		
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	3,030,000	3,030,000		
	etc.(Nil)				
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.				
1.14	Amounts receivable against Repo financing.				
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)				
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	882,000	0	882,000	
	PLUS ii. Advance tax to the extent it is netted with provision of taxation.				
	iii. Receivables other than trade receivables	8,864,163	8,864,163		
1.16	Receivables from clearing house or securities exchange(s)				
	i. 100% value of claims other than those on account of entitlements against trading of securities in all				
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	7,152,447	0	7,152,447	
1.17	Receivables from customers			, ,	
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	23,137,267	18,318,155	18,318,155	
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.				
	i. Lower of net balance sheet value or value determined through adjustments.				
	 ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut 				
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as				
	collateral upon entering into contract,				
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	21,379,340	0	21,379,340	
	iv. Balance sheet value				
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	21,268,789	18,424,013	18,424,013	
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of				
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.				
1.18	VI. 100% halicut in the case of amount receivable form related parties.				
	i. Bank Balance-proprietory accounts	2,348,280	0		
	ii. Bank balance-customer accounts	9,242,417	0	9,242,417	

Liquid Capital Statement

for the month of **28-FEB-22**

of M/s. Axis Global Limited

Submission Date 15-MAR-2022 13:18:28

	Submission Date 15-MAR-2022 13:18:28		Page	2 Of 3
S.No.	Head of Account	Value in	Page Hair Cut/	Net Adjusted
5.110.		Pak Rupee	Adjustments	Value
	iii. Cash in hand			
1.19	Total Assets	180,301,904	79,866,775	129,513,409
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	43,053,199	0	43,053,199
2.2	Current Liabilities	43,053,199	0	43,053,199
	i. Statutory and regulatory dues	3,073,562	0	3,073,562
	ii. Accruals and other payables	12,962,492	0	
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
2.3	ix. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities			
2.5				
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing	15,050,000	0	15,050,000
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5		74 400 050	0	74 400 050
	Total Liabilites	74,139,253	0	74,139,253
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the	12 009 441	12 008 444	10,000,444
	financees exceed 10% of the aggregate of amounts receivable from total financees.	12,008,441	12,008,441	12,008,441
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	 (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. 			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
24	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
J.1	1			

Liquid Capital Statement

for the month of **28-FEB-22**

of M/s. Axis Global Limited

Submission Date 15-MAR-2022 13:18:28

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.	233,683	233,683	233,683
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	116,836,485	8,076,888	8,076,888
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	129,078,609	20,319,012	20,319,012
3.12	Liquid Capital	-22,915,958	59,547,763	35,055,144

Liquid Capital Statement

for the month of **31-MAR-22**

of M/s. Axis Global Limited

Submission Date 11-APR-2022 14:23:44

	Submission Date 11-APR-2022 14:23:44		Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	1,222,931	1,222,931	
1.2		2,500,000	2,500,000	
1.3	Investment in Govt. Securities	,,	,,	
1.4	Investment in Debt. Securities			
	If listed than: i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	14,710,586	2,206,588	12,503,998
	respective securities whichever is higher.	14,710,560	2,200,588	12,505,998
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	22,745,296	22,745,296	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,805,073	2,805,073	
1.9	or any other entity. Margin deposits with exchange and clearing house.	22,200,763	0	22,200,763
1.10	Deposit with authorized intermediary against borrowed securities under SLB.			22,200,100
1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	2,420,200	2,420,200	
1.12	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	872,500	0	872,500
1.15	PLUS	072,500	0	072,500
	ii. Advance tax to the extent it is netted with provision of taxation.	0.005.400	0.005.400	
1.16	iii. Receivables other than trade receivables Receivables from clearing house or securities exchange(s)	8,865,163	8,865,163	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.	4 000 007		4 000 007
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers	1,902,307	0	1,902,307
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	19,245,479	15,521,668	15,521,668
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut	40,400,700		40,400,700
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	48,463,728	0	48,463,728
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	17,876,668	15,176,271	15,176,271
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.10	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances I. Bank Balance-proprietory accounts	876,140	0	876,140
	ii. Bank balance-proprietory accounts ii. Bank balance-customer accounts	9,718,131	0	

Liquid Capital Statement

for the month of **31-MAR-22**

of M/s. Axis Global Limited

Submission Date 11-APR-2022 14:23:44

	Submission Date 11-APR-2022 14:23:44		Page	2 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	iii. Cash in hand			
1.19	Total Assets	176,424,965	73,463,190	127,235,506
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products	00.070.000		00.070.000
2.2	iii. Payable to customers Current Liabilities	23,872,808	0	23,872,808
2.2	i. Statutory and regulatory dues	3,186,701	0	3,186,701
	ii. Accruals and other payables	12,707,261	0	
	iii. Short-term borrowings	15,041,698	0	
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease b. Other long-term financing	45.050.000	0	45.050.000
	ii. Staff retirement benefits	15,050,000	0	15,050,000
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
0.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	69,858,468	0	69,858,468
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	12,008,441	9,022,572	9,022,572
	financees exceed 10% of the aggregate of amounts receivable from total financees.	, ,	. ,	, ,
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and			
	(i) Amount deposited by the borrower with NCCPL			
3.3	 (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares 			
3.3	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription 			
3.3	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: 			
3.3	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and 			
3.3	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. 			
3.3	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting 			
	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the hel and event price. (i) in any other case : 12.5% of the net underwriting commitments 			
3.3	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments 			
3.4	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the hel and event price. (i) in any other case : 12.5% of the net underwriting commitments 			
	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 			
3.4	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total 			
3.4	 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 			

Liquid Capital Statement

for the month of 31-MAR-22

of M/s. Axis Global Limited

Submission Date 11-APR-2022 14:23:44

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	233,683	233,683	233,683
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	152,930,615	10,930,127	10,930,127
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	165,172,739	20,186,382	20,186,382
3.12	Liquid Capital	-58,606,242	53,276,808	37,190,656

Liquid Capital Statement

for the month of **30-APR-22**

of M/s. Axis Global Limited

Submission Date 13-MAY-2022 11:22:06

SJR: Jeal: Cut/ Value Jeal: Cut/ Value Jeal: Cut/ Value Value Jeal: Cut/ Value 11 Property & Equipment 122.2381 122.2381 122.2381 12 Introde Austion 2.000.000 2.000.000 122.2381 13 Introde Austion 2.000.000 2.000.000 122.2381 14 Introde Austion 2.000.000 122.2381 122.2381 15 Introde Austion 128.2381 122.23821 122.23821 122.23821 17.55 Of the balance Aust Aust Aust Introde Aust Introd		Submission Date 13-MAY-2022 11:22:06		Page	1 Of 3
1.1 Property & Ecupionent 1.222.93 1.222.93 1.3 meastment in Geb. Securities 2.500.00 2.500.00 1.4 freestment in Geb. Securities 1 1 1.4 freestment in Geb. Securities 1 1 1.5 freestment in Geb. Securities 1 1 1.6 freestment in Geb. Securities 1 1 1.7 freestment in Geb. Securities 1 1 1 1.7 freestment in Geb. Securities 1 1 1 1 1.7 freestment in Geb. Securities 1	s.No.	Head of Account		Hair Cut/	Net Adjusted
1.1 Trobustics Value (http://tent. 2,800,000 2,800,000 1.3 Trobustics Constraints 2,800,000 2,800,000 1.4 The balance thest states in the case of feature upon 1 year 1 1 1.5 Soft The balance thest value in the case of feature upon 1 year 1 1 1 1.5 Soft The balance thest value, in the case of feature upon 1 year 1	1	Assets			
13 Investment in Gott Securities	1.1	Property & Equipment	1,222,931	1,222,931	
14 Investment in Debt Securities 15 of the balance sheet value in the case of terruis upto 1 year 15 of the balance sheet value in the case of terruis upto 1 year 15 of the balance sheet value in the case of terruis upto 1 year 15 of the balance sheet value in the case of terruis upto 1 year 15 of the balance sheet value in the case of terruis upto 1 year 15 of the balance sheet value in the case of terruis upto 1 year 16 175 175 of the balance sheet value in the case of terruis upto 1 year 176 178 178 of the balance sheet value in the case of terruis upto 1 year 178 178 178 178 178 178 178 178 179 178 170 178 170 178 170 178 170 179 170 178 170 179 170 170 171 170 170 170 171 170 171 170		Intangible Assets	2,500,000	2,500,000	
If issed then Image: Control of the set of terms of the use of terms of the set of terms					
1.5% of the balance sheet value in the case of ferrure upor 1-2 years.	1.7				
III. USE of the balance sheet value. In the case of feruse and more than 3 years. III. Status of the balance sheet value. In the case of feruse and 1 years. III. 25% of the balance sheet value. In the case of teruse and 1 years. III. 25% of the balance sheet value. In the case of teruse terus 1.3 years. III. 25% of the balance sheet value. In the case of teruse terus 1.3 years. III. This of the balance sheet value. In the case of teruse terus 1.3 years. III. Composition of the balance sheet value. In the case of teruse terus 1.3 years. III. This off the balance sheet value of teruse terus 1.3 years. III. Composition on the case of teruse terus 1.3 years. III. If iterus 1.3 years. III. Composition on the case of					
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ILTRA of the balance sheet value, in the case of tenure of in Jayeas. Image: Imag					
II. 15% of the balance sheet value, in the case of fermue of most than 3 yeas. II. 15% of the balance sheet value, in the case of fermue of most than 3 yeas. II. Investment in Equity Social So		i. 10% of the balance sheet value in the case of tenure upto 1 year.			
15 Investment In Equity Securities					
If litted 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for expective socurities which were socurities which were socurities which were the socuritis as the socurities which were the socuritis as the socurities wh	1.5				
II. If unitsted. 100% of camping value. III. Subscription money against Investment in IPODIfor for Sale. Amount paid as subscription money 21.943.820 V. 105%. Natical shall be applied to Value of mestment in any scale including banker of listed examities 21.943.820 21.943.820 Probability of the second scale of the second scale of investment in the papelies in case of investment in those securities which are Probability of favor of Stock Schonge of Cloaning House against Wagnin Financing requirements or plottiged in favor of Stock Schonge of Cloaning House against Wagnin Financing requirements or plottiged in favor of Stock Schonge of Cloaning House against Stock Tem Timestment in subcleases. The harcut as provided in checked life of the Regulations in respect of the securities while applicable (August 25, 2017) 21.943.820 1.6 Investment in subcleases. 21.943.820 21.943.820 1.7 Investment in subcleases. 21.017 21.943.820 1.8 Investment in subcleases. 21.017 20.017 1.8 Investment in subcleases. 21.943.820 23.000.76 1.9 Marking Marking Marking Marking Responses 23.000.76 23.000.76 1.10 Investment in subclease call with financial institutions or debt securities. 24.902.00 24.902.00 1.10 Depart what uniforited intherediding against bordin mesticins. 24.902.00		i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	14,825,473	2,223,821	12,601,652
provided that staxes have not been alloted or are not included in the investments of securities tarker. 21,943,820 w1005 Relation shall be applied to Xale of investment in those securities which are in Block Freeze of Pedge status as on reporting date. (July 19, 2017) 21,943,820 21,943,820 In a report of Bank sagainst Short Term financing arrangements. In such cases, the harcut as provided in checked lift of the Requirations in respect of Investment in socialities shich are publicable (August 25, 2017) 1 1 1.1 Investment in associated companies/undertaking 2 <t< td=""><td></td><td>ii. If unlisted, 100% of carrying value.</td><td></td><td></td><td></td></t<>		ii. If unlisted, 100% of carrying value.			
N:10% Heircut shall be applied to Value of Investment in any asset including shares of Isled securities 21,943,820 21,943,820 N:10% Heircut shall be applied in Case of Investment in those securities which are Predged in Taxor of Stock Exchange (Classing House agains) from the problem in the securities which are predged in Taxor of Stock Exchange (Classing House agains) from the problem in the applicable (Applied E) 21,943,820 1.1 Investment in subclifteree of Pledge status as on reporting data Magin Financing requirements of pledged whichever is higher. 1.1 Investment in subclifteree of Pledge status as on properting data mass of the applicable (Applicable 2, 2017) 1.1 Investment in subclifteree of Pledge status as one pledged by the Securities Exchange for respective securities whichever is higher. 1.1 Investment in subclifteree of Pledge status as one pledged by the Securities Exchange for respective securities whichever is higher. 1.2 Statutory or regulatory depositionatic deposits with the exchange, clearing house or central depository 2,805,073 2,805,073 1.3 Statutory or regulatory information and clear approximation and the pledged diposition of the position of pledged diposition and dip					
Provided that 100% halacut shall not be applied in case of investment in those securities which are pleeded in favor of Bock Sechange (-Clearing House against Margin Financing requirements or pledged in favor of Bock Sechange (-Clearing House against Margin Financing againements or pledged (-Ruget 25, 2017) 1.8 investment in associated companies/undertaking		iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	21,943,820	21,943,820	
Piedged in favor of Stock Exchange / Clearing House against Margin Financing requirements or piedged in favor of Bank against Short Tem financing arrangements in such cases, the haircut as provided in schedule ill of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.1 Investment in subaliaties					
schedule III of the Regulations in sepect of Investment in securities shall be applicable (August 25, 2017)					
1.6 Investment in associated companies/undertaking					
In The add provides of the constraints as computed by the Securites Exchange for respective securities white add provides it highers white add provides it highers	1.6				
whichever is higher. whichever is higher. 1.8 Statutory or regulatory depositsbasic depoists with the exchanges, clearing house or central depository or any other entity. 2,805,073 2,805,073 2,805,073 1.9 Margin deposits with exchange and clearing house. 23,700,763 0 23,700,763 <t< td=""><td>1.7</td><td></td><td></td><td></td><td></td></t<>	1.7				
iii If unisted, 100% of net value.					
or any other entity. 23,700,763 0 24,20,200 2,420,200					
1.9 Margin deposits with exchange and clearing house. 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 23,700,763 0 24,20,200 2,42	1.8		2,805,073	2,805,073	
1110 Deposit with authorized intermediary against borowed securities under SLB. 111 1111 Other deposits and prepayments 2,420,200 1121 Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities 2,420,200 1121 Diverse deposits and prepayments 2,420,200 1131 Dividend's receivable 111 1131 Dividend's receivable 111 1131 Dividend's receivables 111 1131 Dividend's receivables 111 1141 Amounts receivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the Investments). 910,274 0 910,274 115 I. Short Item Loan 16 Employees. Loans are Secured and Due for repayment within 12 months PLUS 910,274 0 910,274 116 Recelvables other than take receivables 8,863,999 8,863,999 8,863,999 11.16 Recelvables one cleaning house or securities exchange(S) 8,863,999 8,863,999 8,863,999 1.10 Recelvables from cuttements against trading of securities in all markets including MtM gains. 8,557,933 0 8,557,933 0 8,557	1.9		23,700,763	0	23,700,763
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etc. (Nill) In the number of makup accrued on loans to directors, subsidiaries and other related parties Image: Constraint of the number of the numere of the nummer of the numere of the number			2,420,200	2,420,200	
1.13 Dividends receivables Image: Construction of the constructio		etc.(Nil)			
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1.16 Receivables from clearing house or securities exchange(s)					
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iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 36,044,881 0 36,044,881 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 23,897,875 33,641,464 23,897,875 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 23,897,875 33,641,464 23,897,875 v. 100% haircut in the case of amount receivable form related parties. 0 0 0 0 1.18 Cash and Bank balances 360,924 0 360,924 0 360,924					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. 23,897,875 33,641,464 23,897,875 vi. 100% haircut in the case of amount receivable form related parties. 0 0 0 1.18 Cash and Bank balances 360,924 0 360,924		iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	36,044,881	0	36,044,881
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 360,924 0			00 007 075	22 6A1 A6A	22 007 075
vi. 100% haircut in the case of amount receivable form related parties.		value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of	23,097,075	33,041,404	23,097,075
i. Bank Balance-proprietory accounts 360,924 0 360,924		vi. 100% haircut in the case of amount receivable form related parties.			
	1.18		000.001		000.001
I III. Bank palance-customer accounts I 6.085.015I 0I 6.085.01		ii. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	360,924 6,085,015	0	

Liquid Capital Statement

for the month of **30-APR-22**

of M/s. Axis Global Limited

Submission Date 13-MAY-2022 11:22:06

	Submission Date 13-MAY-2022 11:22:06		Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Cash in hand			
1.19	Total Assets	172,494,976	93,000,120	129,538,129
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable to customers	27,616,118	0	27,616,118
2.2	Current Liabilities		-	,,
	i. Statutory and regulatory dues	3,270,789	0	3,270,789
	ii. Accruals and other payables iii. Short-term borrowings	15,916,023 8,358,432	0	
	III. Short-term borrowings iv. Current portion of subordinated loans	8,358,432	0	8,358,432
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease	45.050.000	0	15.050.000
	b. Other long-term financing ii. Staff retirement benefits	15,050,000	0	15,050,000
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	 i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. li. Subordinated loans which do not fulfill the conditions specified by SECP 			
1	III. Subordinated toans which do not ruinil the conditions specified by secp			
2.5	Total Liabilites	70,211,362	0	70,211,362
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	12,008,441	12,241,923	12,241,923
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
0.0	(a) in the case of right issues : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
0.0				

Liquid Capital Statement

for the month of **30-APR-22**

of M/s. Axis Global Limited

Submission Date 13-MAY-2022 11:22:06

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
3.8	applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.	233,683	233,683	233,683
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	152,930,615	10,930,127	10,930,127
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	165,172,739	23,405,733	23,405,733
3.12	Liquid Capital	-62,889,125	69,594,387	35,921,034

Liquid Capital Statement

for the month of **31-MAY-22**

of M/s. Axis Global Limited

Submission Date 15-JUN-2022 17:06:11

	Submission Date 15-JUN-2022 17:06:11		D	1 05 3
			Page	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	1,240,031	1,240,031	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	14,361,529	2,154,229	12,207,300
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	38,261,882	38,261,882	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	2,805,073	2,805,073	
1.9	Margin deposits with exchange and clearing house.	24,228,263	0	24,228,263
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	2,420,200	2,420,200	
1.11	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	2,420,200	2,420,200	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	889,274	0	889,274
	PLUS			
	ii. Advance tax to the extent it is netted with provision of taxation. iii. Receivables other than trade receivables	8,897,276	8,897,276	
1.16	Receivables from clearing house or securities exchange(s)	-,	-,	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains	1.756.711	0	1,756,711
1.17	Receivables from customers	.,		.,,
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	17,766,927	16,530,614	16,530,614
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	13,544,685	0	13,544,685
	iv. Balance sheet value		-	
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	65,643,410	65,643,410	65,643,410
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.			
1.10	Cash and Bank balances i. Bank Balance-proprietory accounts	797,766	0	797,766
	ii. Bank balance-customer accounts	2,772,427	0	

Liquid Capital Statement

for the month of **31-MAY-22**

of M/s. Axis Global Limited

Submission Date 15-JUN-2022 17:06:11

	Submission Date 15-JUN-2022 17:06:11		_	0 00 0
			Page	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Cash in hand			
1.19	Total Assets	197,885,454	140,452,715	138,370,450
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable to customers	27,131,015	0	27,131,015
2.2	Current Liabilities			
	i. Statutory and regulatory dues ii. Accruals and other payables	<u>556,877</u> 12,939,796	0	
	iii. Short-term borrowings	22,803,840	0	
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
2.2	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing	15,050,000	0	15,050,000
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
2.4	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	in subolumated toans which do not runn the conditions specified by secr			
2.5	Total Liabilites	78,481,528	0	78,481,528
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	12,814,507	12,814,507	12,814,507
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing			
0.2	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(II) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
3.4	 (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. 			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.0				
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Liquid Capital Statement

for the month of 31-MAY-22

of M/s. Axis Global Limited

Submission Date 15-JUN-2022 17:06:11

			Page	3 Of 3
s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	229,536	229,536	229,536
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	168,629,740	11,634,250	11,634,250
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	181,673,783	24,678,293	24,678,293
3.12	Liquid Capital	-62,269,857	115,774,422	35,210,629

Liquid Capital Statement

for the month of **30-JUN-22**

of M/s. Axis Global Limited

Submission Date 14-JUL-2022 14:08:41

B.No. Near OF Account Value in Del Kuye Rais Cut Adjustants Net Adjustants 1.1 Property & Equipment 5:306,851 5:306,851 5:306,851 1.3 Instants for the Sourching 2:300,851 5:306,851 5:306,851 1.4 Instants for the Sourching 2:300,851 5:306,851 5:306,851 1.4 Instants for the Sourching 2:300,851 5:306,851 5:306,851 1.5 If the Balance about much in the case of terme upto 1 year 1		Submission Date 14-JUL-2022 14:08:41		Page	1 Of 3
1.1 Property & Ecupionent 13.06331 1.308331 1.3 Interaction in Debt Securities 2.00000 2.00000 1.4 Investment in Cost Securities 1.0000 2.00000 1.4 Investment in Cost Securities 1.0000 2.00000 1.4 Investment in Debt Securities 1.0000 1.0000 1.5 If and the balance should value in the case of forum of them 3 yeas. 1.0000 1.0000 1.6 The balance should value in the case of forum of them 3 yeas. 1.00000 1.00000 1.6 The balance should value in the case of forum of them 3 yeas. 1.0000000 1.000000000000000000000000000000000000	S.No.	Head of Account		Hair Cut/	Net Adjusted
1 Induction (a. d. and (a)) (b) 2,600,000 2,600,000 1.4 Investment in Deb. Securities 1	1	Assets			
1.2 Internation factor. 2.500.000 1.3 Internet in Deck. Securities. Internet in Deck. Securities. 1.4 Internet in Deck. Securities. Internet in Deck. Securities. 1.5 Internet in Deck. Securities. Internet in Deck. Securities. 1.6 Internet in Deck. Securities. Internet in Deck. Securities. 1.6 Internet in Deck. Securities. Internet in Deck. Securities. 1.6 Internet in Deck. Securities. Internet in Deck. Securities. 1.7 Internet in Deck. Securities. Internet in Deck. Securities. 1.8 Internet in Deck. Securities. Internet in Deck. Securities. 1.8 Internet in Deck. Securities. Internet in Deck. Securities. 1.8 Internet in Deck. Securities. Internet in Deck. Securities. 1.8 Internet in Deck. Securities. Internet in Deck. Securities. 1.8 Internet in Deck. Securities. Internet in Deck. Securities. 1.8 Internet in Deck. Securities. Internet in Deck. Securities. 1.9 Internet in Deck. Securities. Internet in Deck. Securities. 1.9 Internet in Deck. Securities. Internet in Deck. Securities. 1.9 Internet in Deck. Securities. Internet in Deck. Securities. 1.1 Internet in Deck. Securities.	1.1	Property & Equipment	1,306,831	1,306,831	
14 Investment in Dock Securities 17 Set of the balance sheet values in the case of foruse ifon 1 years 18 Set of the balance sheet values in the case of foruse ifon 1 years 19 Set of the balance sheet values in the case of foruse ifon 1 years 10 Test of the balance sheet value, in the case of foruse ifon 1 years 10 Test of the balance sheet value, in the case of foruse of the years 11 Test of the balance sheet value, in the case of foruse of the years 11 Test of the balance sheet value, in the case of tenue of the years 12 Test of the balance sheet value, in the case of tenue of the years 13 Test of the balance sheet value, in the case of tenue of more than 3 years 14 Test of the balance sheet value, in the case of tenue of more than 3 years 15 Test of the balance sheet value, in the case of tenue of more than 3 years 16 Test of the balance sheet value, in the case of tenue of more than 3 years 17 Test of the balance sheet value, in the case of tenue of more than 3 years 18 Test of the balance sheet value, in the case of tenue of more than 3 years 19 Test of the years 10 Test of the balance sheet value, in the case of tenue of more than 3 years 10 T	1.2		2,500,000	2,500,000	
If listed than: If listed than: 1.5% of the balance should value, in the case of future of more line 3 years. If listed than: 1.7% of the balance should value, in the case of future of more line 3 years. If listed than: II. 10% of the balance should value, in the case of future of more line 3 years. If listed than: II. 10% of the balance should value, in the case of future of more line 3 years. If listed than: II. 10% of the balance should value, in the case of future of more line 3 years. If listed than: II. 10% of the balance should value, in the case of future of more line 3 years. If listed than: II. 10% of the balance should value, in the case of future of more line 3 years. If listed than: II. 10% of the balance should value, in the case of future line in the source should value. If listed than: II. 10% of the balance should value, in the case of future line value. If listed than: II. 10% of the balance should value of the value should be applied to value of balance should be applied by value of balance should be applied by value of balance should be applied by value of the value should be applied by value of balance should value should be applied by value of balan					
15% of the balance shoet value, in the case of ferune on 1-3 yeas.	1.4				
III. 12% of the balance should value, in the case of fermue form 1-3 years. III. 10% of the balance should value, in the case of fermue of met than 3 years. III. 10% of the balance should value, in the case of fermue of met than 3 years. III. 10% of the balance should value, in the case of fermue of met than 3 years. III. 10% of the balance should value, in the case of fermue of met than 3 years. III. 10% of the balance should value, in the case of fermue of met than 3 years. III. Investment in Equity Securities. III. Investment in Equity Securities. III. Investment in Equity Securities. III. Understand the case of the securities as computed by the Securities Exchange for the securities with the securities and the investment of a subscription money provided that hars have the other allow of a new in included in the investment of new instantial in the securities with a subscription money in provided that hars have the interporting distic. U(if y 2017) Social					
If fullisted than: Interverted to balance sheet value in the case of tenuer upto 1 year. Interverted to balance sheet value in the case of tenuer upto 1 year. II. 15% of the balance sheet value. In the case of tenuer upto 1 year. Interverted value. In the case of tenuer upto formation of the value of the case of tenuer upto 1 year. Interverted value. I					
L178: of the balance shoet value, in the case of tenure outpot year.					
II.1255 of the balance sheet value, in the case of tenuer from 1-3 yeas. II.1255 of the balance sheet value, in the case of tenuer from than 3 yeas. IV II. Investment in Equity Socurities II.1386.01 2.009,732 11,388,214 2.009,732 11,388,214 II. Institute 1056 of the balance sheet on the cutoff date as computed by the Socurities factoring value. II.1385,000					
II. 15% of the balance sheet value, in the case of server end more than 3 yeas. II. 15 II. Vestment In Equity Securities on the culoff date as computed by the Socurities Exchange for tagspectows socurities which here is highly. 13.398,214 2,009,732 11.388,41 16 III. Initiated 1060 of carrying value. III. Calibities L060 of carrying value.					
if if lated 19% or Val of each securities on the cutof date as computed by the Securities Exchange for 13,338,214 2,008,732 11,388,4 if generations on the source of the intervention of the source of the intervention on the source of the intervention on the source of the intervention of the intervention of the source of the intervention of the intervention of the source of the intervention of the intervention of the source of the intervention of the intervention of the source of the interventin of the source of the interventin on the ore					
is if unities whichever is higher. is if unities U005 of carrying value. is if unities U005 and the intermediate U005 partices U005 of U0005 of U0005 of U005 of U0005 of U0005 of U0005 of U005 of U0005 of	1.5				
II. If unitsted.100% of carrying value. III. If unitsted.100% of carrying value. III. Subscription more yaparits Investment in POloffer for Sale. Amount paid as subscription more yaparits investment of accurities broke. 38,261,882 IV. 100% for carrying value. 38,261,882 38,261,882 Powided that there can be paided to Value of Investment In 10. 38,261,882 38,261,882 Powided that 100% harcut shall not be applied in cave of Investment In 10. 38,261,882 38,261,882 Investment In associated companies/undertaking Investment in associated companies/undertaking Investment in associated companies/undertaking 1. Investment in associated companies/undertaking Investment in associated companies/undertaking Investment in associated companies/undertaking 1.11 Object with authouted intermedian galaxis basic deposits with the exchange, clearing house or central depository 2,805,073 2,805,073 1.28 Stauroy or optime ratity. 2,805,073 2,805,073 2,805,073 1.29 Acry with authouted intermedian galaxis bord equal sculities under Sts. 10,827,208 0 25,378,26 1.20 Acrow with authouted intermedian galaxis basic deposits with authouted intermedian galaxis basic deposits and programments 10,827,208 10,827,208			13,398,214	2,009,732	11,388,482
III.Subsciption money against investment in PDORF for Sale. Amount paid as subsciption money		ii. If unlisted. 100% of carrying value.			
In 10% Haircut shall be applied to Value of Investment In any asset Including shares of Isted socurities 38,281,882 38,281,882 38,281,882 In a number of Back, Recer of Pedge status as on reporting datic (July 19, 2017) Photoded that 10% haircut shall not be applied in case of Investment In those socurities which are Predged in fravor of Santa against Snot Teem financing arrangements. In such case, the haircut as provided in 1 avoid 1 and a space of Isonta against Snot Teem financing arrangements. In such case, the haircut as provided in 1 avoid 1 and 1 avoid 1 and 1 avoid 1 and 1 avoid 1 and 1 avoid		iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
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schedule III of the Regulations in respect of Investment in securities shall be applicable (August 25, 2017)					
1.6 Investment in associated companies/undertaking					
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whichever is higher. whichever is higher. II.I. Insted, 100% of net value. 1.8 18 Statutory or regulatory depositivation depositivation of the exchanges, clearing house or central depository or 2,805,073 2,805	1.7				
iii If unlisted, 10% of net value.					
1.8 Statutory or regulatory deposits with the exchanges, clearing house or central depository 2,805,073 2,805,073 1.9 Margin deposits with exchange and clearing house. 25,378,263 0 25,378,263 1.10 Deposits with auchange and clearing house. 10,00000000000000000000000000000000000					
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value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances			40.000 474	40 000 474	40 000 474
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			49,092,471	49,092,471	49,092,471
vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18 Cash and Bank balances					
	1 18				
		i. Bank Balance-proprietory accounts	2,349,151	0	2,349,151

Liquid Capital Statement

for the month of **30-JUN-22**

of M/s. Axis Global Limited

Submission Date 14-JUL-2022 14:08:41

	Submission Date 14-JUL-2022 14:08:41			
			Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	197,986,163	136,487,403	126,178,847
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products	00.040.000		26.348.060
2.2	iii. Payable to customers Current Liabilities	26,348,060	0	26,348,060
2.2	i. Statutory and regulatory dues	619,112	0	619,112
	ii. Accruals and other payables	1,383,084	0	
	iii. Short-term borrowings	11,813,914	0	11,813,914
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
2.3	ix. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities			
2.5	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing	22,830,000	0	22,830,000
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans 1. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	T-1-111-6-004	62,994,170	0	62,994,170
3	Total Liabilites	02,994,170	0	02,994,170
3.1	Ranking Liabilities Relating to : Concentration in Margin Financing			
3.1	The amount calculated client-to- client basis by which any amount receivable from any of the	16,266,797	16,266,797	16,266,797
	financees exceed 10% of the aggregate of amounts receivable from total financees.	10,200,737	10,200,737	10,200,737
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case : 12.5% of the net underwriting commitments Negative equity of subsidiary			
3.4	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
21	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
J.1				

Liquid Capital Statement

for the month of **30-JUN-22**

of M/s. Axis Global Limited

Submission Date 14-JUL-2022 14:08:41

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.	209,838	209,838	209,838
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	149,009,865	9,883,170	9,883,170
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	165,486,500	26,359,805	26,359,805
3.12	Liquid Capital	-30,494,507	110,127,598	36,824,872

Liquid Capital Statement

for the month of 31-JUL-22

of M/s. Axis Global Limited

Submission Date 15-AUG-2022 12:42:57

	Submission Date 15-AUG-2022 12:42:57		Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Fage Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	1,385,831	1,385,831	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than: i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities	10 000 001	4 005 400	
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	13,300,801	1,995,120	11,305,681
	ii. If unlisted, 100% of carrying value.			
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker. Iv. 100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	18,433,353	18.433.353	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	-,,	-,,	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,805,073	2,805,073	
1.0	or any other entity.	2,803,073	2,003,073	
1.9	Margin deposits with exchange and clearing house.	24,128,263	0	24,128,263
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	10,827,208	10,827,208	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	10,027,200	10,027,200	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	891,274	0	891,274
	PLUS	,		,
	ii. Advance tax to the extent it is netted with provision of taxation. iii. Receivables other than trade receivables	9,064,033	9,064,033	
1.16	Receivables from clearing house or securities exchange(s)	3,004,033	3,004,033	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains	0 504 500	0	0.504.500
1.17	II. Receivable on entitlements against trading of securities in all markets including MtW gains. Receivables from customers	9,521,502	0	9,521,502
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	20,876,332	19,387,501	19,387,501
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	38,582,395	0	38,582,395
	iv. Balance sheet value y. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	26,346,594	24,321,561	24,321,561
	value of securities purchased for customers and held in sub-accounts after applying VAR based	20,0 10,00 1	2 1,02 1,00 1	21,021,001
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	688,209	0	
	ii. Bank balance-customer accounts	7,465,690	0	7,465,690

Liquid Capital Statement

for the month of **31-JUL-22**

of M/s. Axis Global Limited

Submission Date 15-AUG-2022 12:42:57

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119 Ibala Assels 90,710,880 195,282,07 21 Itabilities 90,710,880 195,282,07 22 Itabilities 90,710,880 90,710,880 23 Index Payables 90,200,800 90 24 Itabilities 90,700,880 90 25 Current Libration of non-investment instance position 90,710,880 90 26 Current Libration of non-investment instance position 90,710,880 90,710,880 27 Current Libration of non-investment instance position 91,711,110 177,111 27 Current Libration of non-investment instance position 93,941,880 93,941,880 28 Current Libration of non-investment instance lasses 93,941,880 93,941,880 29 Current Libration of non-investment instance lasses 94,941,880 94,931,880 20 Libration of non-investment instance lasses 94,941,880 94,931,880 20 Libration of non-investment instance lasses 94,941,880 94,931,880 21 Libration of non-investment instance lasses 94,941,890 94,942,890 22 Libration of non-investment instance lasses 94,941,890 94,942,990 23 Libration of non-investment instance lasses 94,940,940 94,940,940	S.No.	Head of Account			Net Adjusted Value
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11 Inside Psychies	1.19		186,816,558	90,719,680	136,292,076
IPsystem	2	Liabilities			
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2:2 Current Liabilities 1.777,114 0 1.777,714 0 1.777,714 0 1.777,714 0 1.777,714 0 1.777,714 0 1.777,714 0 1.7			20.028.064	0	20.029.064
statutory and requipies and other payobies 1.777.114 0 <td>2.2</td> <td></td> <td>20,030,004</td> <td>0</td> <td>20,030,004</td>	2.2		20,030,004	0	20,030,004
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v. Current parties of force term labelings. — — iii. Provident for task dable. — — iii. Concent labelings are accounting principles and included in the financial statements. — — iii. Concent labelings are accounting principles and included in the financial statements. — — iii. Concent labelings are accounting principles and includes in the financial statements. — — iii. Concent labeling around to eagains financia gassis financia gassis financia gassis financia gassis financia gassis financia labelings. — — iii. Bioma concent binarciang obtained from financial institution. Long term portion of financing obtained from a financial distribution includes agains financia gassis financia financia gassis financia financia			31,041,384	0	31,041,384
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a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has papoved the increase in capital c. Relevant Regulatory approvals have been obtained d. These is no unreasonable delay in issues of shares against advance and all regulatory requirements relating to the increase in a gainst the increase of capital. increase of capital. v. Other labilities as pare accounting principles and included in the financial statements. increase of capital. v. Other labilities as pare accounting principles and included in the financial statements. increase of capital. v. Other labilities as pare accounting principles and included in the financial statements. increase of capital. v. Other labilities as pare accounting principles and included in the financial statements. increase of capital. v. Other labilities as pare accounting principles and included in the financial statements. increase of capital. v. Other labilities as pare accounting principles and included in the financial statements. increase of capital. v. Other labilities as pare accounting principles and included in the repart of the accounting principles and and the pare accounts. increase of capital. c. In case of early repare and must clearly reflect the amount to be repaid after 12 months. increase of capital. increase of capital. d. The account fight financing increase of capital. increasecapital. increase of capital.<					
b. Boad of Directors of the company has approved the increase in capital					
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relating to the increase in paid up capital have been completed. Image: Complete State		c. Relevant Regulatory approvals have been obtained			
e. Auditor is satisfied that such advance is against the increase of capital. Image: Construct advance is against the increase of capital. V. Other liabilities are accounting principles and included in the financial statements Image: Construct advance is advanced advanced advanced advanced is advanced a					
w. Other liabilities as per accounting principles and included in the financial statements Image: Constraints 24 Subordinated Joans which fulfill the conditions specified by SECP are allowed to be deducted: In the Schedule III growing that included lowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on samp paper and must clearly reflect the amount to be repaid after 12 months of reparting period b. No haiccut will be allowed against shot term portion which is repayable within next 12 months. c. In case of early repayment of Ioan, adjustment shall be made to the liquid Capital and revised Liquid Capital statement must be swuthied to exchange. 1. Subordinated loans which do not fulfill the conditions specified by SECP 1. Subordinated cleans which do not fulfill the conditions specified by SECP 1. Subordinated cleans which do not fulfill the conditions specified by SECP 1. Subordinated cleans the second state second stat					
2.4 Subordinated Loans 1.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Inhe Schedula III provides that 100% halicut will be allowed against short term portion which is repayable within next 12 months. C. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. 2.5 Iotal Liabilities 83,400,615 0 83,400,615 0 3.8 Ronking Liabilities Relating to : 3.1 Ronking Liabilities Relating to : 3.1 Ronking Liabilities Relating to : 3.2 Concentration in Margin Financing The amount securities lending and borrowing The amount by which any amount receivable from any of the financees exceed 10% of the aggegate of amounts receivable from total financees. 3.2 Concentration in Securities lending and borrowing The amount by which the aggegate of.					
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2.5 Total Liabilities 83,400,615 0 83,400,615 3 Ranking Liabilities Relating to : 3 4 12.814.507		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
3 Ranking Liabilities Relating to:		ii. Subordinated loans which do not fulfill the conditions specified by SECP			
3 Ranking Liabilities Relating to:	2.5	Total Liabilitas	83 400 615	0	83 400 615
3.1 Concentration in Margin Financing			00,100,010	Ű	00,100,010
Inferentiation Image: Construction of the appropriate of amounts receivable from total financess. 12,814,507					
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3.6 Amount Payable under REPO		5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
	24				
	3.6	Annount rayable under KEPO			

Liquid Capital Statement

for the month of **31-JUL-22**

of M/s. Axis Global Limited

Submission Date 15-AUG-2022 12:42:57

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of	1,593,335	1,593,335	1,593,335
3.9	the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	201,450,510	13,200,438	13,200,438
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	215,858,352	27,608,280	27,608,280
3.12	Liquid Capital	-112,442,409	63,111,400	25,283,181

Liquid Capital Statement

for the month of **31-AUG-22**

of M/s. Axis Global Limited

Submission Date 15-SEP-2022 15:55:02

		Submission Date 15-SEP-2022 15:55:02		Page	1 Of 3
11 Progentry & Ecouriement 1.196/781 1.196/781 12 Introduction in Gott, Stauzilian 2.690,890 2.590,890 13 Introduction in Gott, Stauzilian 2.690,890 2.590,890 14 Intersettion in Gott, Stauzilian 2.690,890 2.590,890 15 Intersettion in Gott, Stauzilian 2.690,890 2.590,890 16 Intersettion in Gott, Stauzilian 2.690,890 2.590,890 17 Intersettion in Gott, Stauzilian 2.690,890 2.590,890 18 Intersettion in Gott, Stauzilian 2.690,890 2.590,890 19 Intersettion in Gott, Stauzilian 2.600,890 2.600,890 10 Intersettion in Gott, Stauzilian 1.600,800 2.600,890 10 Intersettion in Gott, Stauzilian 1.600,800 2.600,800 11 Intersettion in Gott, Stauzilian 1.600,800 2.600,800 11 Intersettion in Gott, Stauzilian 1.600,800 1.600,800 11 Intersettion in Stauzilian 1.600,800 1.600,800 11 Intersettion in Stauzilian 1.600,800 1.600,800 11	s.No.	Head of Account		Hair Cut/	Net Adjusted
1. Productly of all comparison 2.500,000 2500,000 1.3. most the field hare. 1 <t< td=""><td>1</td><td>Assets</td><td></td><td></td><td></td></t<>	1	Assets			
13 Investment in Social Socialities Impact the form in Dobb. Socialities of terms upon hear a search terms upo	1.1	Property & Equipment	1,196,751	1,196,751	
1-14 Investment in Debt. Securities		Intangible Assets	2,500,000	2,500,000	
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provided that share have not been altered or are not included in the investments of socurities torker. 16,863,066 W100% Hallord Sallo Salpold to Value of Investment in Investment in those securities which are in Block. Freeze or Pedge status as on reporting date. (July 19, 2017) 16,863,066 16,863,066 In the investment in socializations in respect of Investment in those securities which are socialized in Case of Investment in Socialized Companies/undertaking 1 1.1 Investment in associated companies/undertaking 1 <td></td> <td></td> <td></td> <td></td> <td></td>					
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1.10 Deposit with authorized intermediary against borowed securities under SLB.	10		36 928 263	0	36 928 263
1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			30,320,203	0	30,320,203
etc. (Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 111 113 Dividends receivables 111 114 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 111 11.5 L. Short Term Loan To Employees: Loars are Secured and Due for repayment within 12 months 885,274 0 885,274 11.6 L. Advance tax to the extent it is netted with provision of taxation. 11,254,468 11,254,468 11,254,468 11.7 Receivables from Clearing house or securities exchange(s) 11,254,468 11,254,468 11,254,468 11.6 Receivables from clearing house or securities in all markets including MM gains. 1,555,910 0 1,555,910 11.7 Receivables from customers 11, case reacivables are against trading of securities held in the blocked account after applying VAR based haircut. 11, case receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral by the finance (lii) market value of net balance sheet value or value determined through adjustments. 113,983,467 13,983,467 11.8 Near amount after deducting haircut 11,01 Receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral by the financee (lii) market value or oratract, lii. Loase r			50,000	50,000	
100% in respect of markup accued on loans to directors, subsidiaries and other related parties	1.12				
1.14 Amounts receivable against Repo financing. Image: Construction of the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PUS 885,274 0 885,274 1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 885,274 0 885,274 1.15 I. Advance tax to the extent it is netted with provision of taxation. 11,254,468 11,254,468 11,254,468 1.16 Receivables from Clearing house or securities exchange(s) 11,254,468 11,254,468 11,254,468 1.16 Receivables from clearing house or securities exchange(s) 11,254,468 11,254,468 11,254,468 1.10 Receivables from customers 11,254,468 11,254,468 11,254,468 1.17 Receivables from customers 1,555,910 0 1,555,910 1,555,910 1.17 Receivables from customers 1,1,638,707 13,983,467 13,983,467 13,983,467 1.18 I. nease receivables are against margin financing, the aggregate if (i) value of securities held in the biotocked account after applying VAR based halrcut, ii cash cecivables are against margin trading, 5% of the net balance sheet val					
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market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Net amount after deducting haircut iii. Net amount after deducting haircut iii. Net amount after deducting haircut iii. Net amount after deducting haircut iv. Incase of other trade receivables ne overdue, or 5 days or more, the aggregate of (i) the market 21,905,992 0 21,905,992 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 14,651,896 13,411,165 13,411,165 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. vi. 100% haircut in the case of amount receivable form related parties. 0 811,432 0 811,432			17,683,707	13,983,467	13,983,467
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vi. 100% haircut in the case of amount receivable form related parties.		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 811,432 0 811,432					
i. Bank Balance-proprietory accounts 811,432 0 811,432	1 18				
ii. Bank balance-customer accounts 3,299,166 0 3,299,166			811.432	0	811.432
					3,299,166

Liquid Capital Statement

for the month of **31-AUG-22**

of M/s. Axis Global Limited

Submission Date 15-SEP-2022 15:55:02

	Submission Date 15-SEP-2022 15:55:02		Domo	2 05 3
			Page	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Cash in hand			
1.19	Total Assets	148,708,408	66,636,602	104,525,467
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable to customers	37,150,442	0	37,150,442
2.2	Current Liabilities			
	i. Statutory and regulatory dues	329,269	0	
	ii. Accruals and other payables iii. Short-term borrowings	14,562,404	0	14,562,404
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid	15,050,000	15,050,000	
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	T • • • • • • • •	67,092,115	15,050,000	52,042,115
2.5	Total Liabilities	07,092,115	15,050,000	52,042,115
3.1	Ranking Liabilities Relating to : Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	14,681,825	6,503,347	6,503,347
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing			
-	The amount by which the aggregate of:			
	 (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares 			
3.3	borrowed Net underwriting Commitments			
	(a) in the case of right issues : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7				

Liquid Capital Statement

for the month of 31-AUG-22

of M/s. Axis Global Limited

Submission Date 15-SEP-2022 15:55:02

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of finances/eller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	213,570	213,570	213,570
3.9	Opening Positions in futures and options			
	I. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	172,144,975	12,288,037	12,288,037
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	187,040,370	19,004,954	19,004,954
3.12	Liquid Capital	-105,424,077	32,581,648	33,478,398

Liquid Capital Statement

for the month of **30-sep-22**

of M/s. Axis Global Limited

Submission Date 12-OCT-2022 16:29:33

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Liquid Capital Statement

for the month of **30-sep-22**

of M/s. Axis Global Limited

Submission Date 12-OCT-2022 16:29:33

	Submission Date 12-OCT-2022 16:29:33			
			Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Cash in hand			
1.19	Total Assets	161,088,483	77,001,915	105,442,599
2	Liabilities			
2.1	Trade Payables i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products			
	iii. Payable to customers	31,273,847	0	31,273,847
2.2	Current Liabilities i. Statutory and regulatory dues	000.440		000.440
	ii. Accruals and other payables	232,412 20,705,785	0	<u>232,412</u> 20,705,785
	iii. Short-term borrowings		-	,,
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	15,050,000	15,050,000	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:	13,030,000	13,030,000	
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	67,262,044	15,050,000	52,212,044
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
3.2	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing	15,149,633	7,817,886	7,817,886
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.7				
				,

Liquid Capital Statement

for the month of **30-SEP-22**

of M/s. Axis Global Limited

Submission Date 12-OCT-2022 16:29:33

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	201,336	201,336	201,336
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	201,070,305	14,164,233	14,164,233
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	216,421,274	22,183,455	22,183,455
3.12	Liquid Capital	-122,594,835	39,768,460	31,047,100

Liquid Capital Statement

for the month of **31-OCT-22**

of M/s. Axis Global Limited

Submission Date <Not Submitted>

	Submission Date <not submitted=""></not>				
			Page	1 Of 3	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value	
1	Assets				
1.1	Property & Equipment	1,279,051	1,279,051		
1.2	Intangible Assets	2,500,000	2,500,000		
1.3	Investment in Govt. Securities				
1.4	Investment in Debt. Securities				
	If listed than: i. 5% of the balance sheet value in the case of tenure upto 1 year.				
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.				
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.				
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.				
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.				
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.				
1.5	Investment in Equity Securities				
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	12,754,326	1,954,574	10,799,752	
	ii. If unlisted, 100% of carrying value.				
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money				
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	15,228,054	15.228.054		
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	10,220,004	10,220,001		
	Provided that 100% haircut shall not be applied in case of investment in those securities which are				
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in				
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)				
1.6	Investment in subsidiaries				
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities				
	whichever is higher.				
	ii. If unlisted, 100% of net value.				
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	5,305,073	5,305,073		
1.9	Margin deposits with exchange and clearing house.	63,811,773	0	63,811,773	
1.10	Deposit with authorized intermediary against borrowed securities under SLB.			, ,	
1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	50,000	50,000		
1.12	etc.(Nil)				
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties				
1.13	Dividends receivables. Amounts receivable against Repo financing.				
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement				
1.15	shall not be included in the investments.)	004.074		004.074	
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS	894,274	0	894,274	
	ii. Advance tax to the extent it is netted with provision of taxation.				
1.1.(iii. Receivables other than trade receivables	11,254,468	11,254,468		
1.16	Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all				
	markets including MtM gains.				
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	7,587,661	0	7,587,661	
1.17	Receivables from customers				
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	17,543,632	17,543,632	17,543,632	
	market value of any securities deposited as collateral after applying VaR based haircut.				
	i. Lower of net balance sheet value or value determined through adjustments.				
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut				
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as				
	collateral upon entering into contract,				
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	21,914,592	0	21,914,592	
	iv. Balance sheet value		-		
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	11,753,327	9,904,982	9,904,982	
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of				
	securities held as collateral after applying VaR based haircuts.				
1.10	vi. 100% haircut in the case of amount receivable form related parties.	4,284,553	4,284,553		
1.18	Cash and Bank balances	076 054		076 054	
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	376,251 3,260,901	0		
L		2,200,001	0	2,200,001	

Liquid Capital Statement

for the month of **31-OCT-22**

of M/s. Axis Global Limited

Submission Date <Not Submitted>

			Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Cash in hand			
1.19	Total Assets	179,797,936	69,304,387	136,093,818
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable to customers	60,258,399	0	60,258,399
2.2	Current Liabilities			
	i. Statutory and regulatory dues ii. Accruals and other payables	281,202 11,922,123	0	
	iii. Short-term borrowings	136,084	0	
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts viii. Provision for taxation			
2.2	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid	15,050,000	15,050,000	
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	87,647,808	15,050,000	72,597,808
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.	17,543,632	14,168,091	14,168,091
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	borrowed Net underwriting Commitments			
0.0	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
2.4	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6 3.7	Amount Payable under REPO			
J.1	1	I		1

Liquid Capital Statement

for the month of **31-OCT-22**

of M/s. Axis Global Limited

Submission Date <Not Submitted>

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	190,036	190,036	190,036
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	192,138,940	15,797,341	15,797,341
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	209,872,608	30,155,468	30,155,468
3.12	Liquid Capital	-117,722,480	24,098,919	33,340,542

Liquid Capital Statement

for the month of **30-NOV-22**

of M/s. Axis Global Limited

Submission Date 14-DEC-2022 13:03:50

	Submission Date 14-DEC-2022 13.03.30		Page	1 Of 3
a				
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	1,323,551	1,323,551	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities			
	(Difference between book value and sale value on the date on the basis of PKRV published by NIFT)			
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	 ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. 			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher. (Provided that if any of these securities are pledged with the securities exchange for base minimum capital requiremment, 100% haircut on the value of eligible securities to the extent of minimum required value of Base minimum capital	12,895,124	1,934,269	10,960,855
	ii. If unlisted, 100% of carrying value.			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or			
	central depository or any other entity.			
	(i) 100% of net value, however any excess amount of cash deposited with securities exchange to comply	5,305,073	5,305,073	
	with requirements of base minimum capital may be taken in the calculation of LC	0,000,010	0,000,010	
1.9	Margin deposits with exchange and clearing house.	51,328,263	0	51,328,263
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	50.000	50.000	
1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	50,000	50,000	
1.12	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	Advances and receivables other than trade Receiveables;			
	(i) No haircut may be applied on the short term loan to employees provided these loans are secured and due for repayments within 12 months.	1,039,674	0	1,039,674
	(ii) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.			
	(iii) In all other cases 100% of net value	9,154,468	9,154,468	
1.16	Receivables from clearing house or securities exchange(s)			
	100% value of claims other than those on account of entitlements against trading of securities in all	3,021,974	3,021,974	3,021,974
1.17	markets including MtM gains.			
1.17	Receivables from customers	10.001.150	40.400.044	10,100,011
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.	19,861,158	18,162,914	18,162,914
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut			
	in. Net arround after deducting naticul iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. Iv. Balance sheet value	21,578,753	0	21,578,753
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts	13,782,603	12,046,790	12,046,790
	yell in the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying 50% or var based haircuts	3,916,279	3,916,279	3,916,279
	whichever is higher.			

Liquid Capital Statement

for the month of **30-NOV-22**

of M/s. Axis Global Limited

Submission Date 14-DEC-2022 13:03:50

provided that shares r. dited in CDS Account, edited in CDS account,	Value in Pak Rupee	Page Hair Cut/ Adjustments 0 0 0 0 57,415,318 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Net Adjusted Value 545,317 3,287,175
r. dited in CDS Account,	Pak Rupee	Adjustments 0 0 57,415,318	Value 545,317 3,287,175
r. dited in CDS Account,	3,287,175 	57,415,318	3,287,175
r. dited in CDS Account,	3,287,175 	57,415,318	3,287,175
r. dited in CDS Account,	3,287,175 	57,415,318	3,287,175
r. dited in CDS Account,	149,589,412 45,357,743 278,519	57,415,318	
r. dited in CDS Account,	45,357,743 278,519		125,887,994
r. dited in CDS Account,	45,357,743 278,519		125,887,994
r. dited in CDS Account,	45,357,743 278,519		125,887,994
	278,519	0	
	278,519	0	
	278,519	0	L
	278,519	0	
			45,357,743
		0	279 540
	13,253,181	0	
	37,961	0	
atements			
ements			
btained from a financial es			
allowed to be deducted:	15,050,000	15,050,000	
nital			
Jitai			
latory requirements			
	73,977,404	15,050,000	58,927,404
	10 001 150	40 707 007	10 707 007
e norm any of the cees. aggregate amount of	19,861,158	13,737,227	13,737,227
nt shall be included in			
arket value of shares			
hares borrowed shall be			
al to the subscription			
e of the securities. e subscription price, 5% of			
	arket value of shares hares borrowed shall be e of the subscription e of the subscription e of the securities.	ements tained from a financial s liowed to be deducted: 15,050,000 ital atory requirements 73,977,404 from any of the ess. aggregate amount of t shall be included in arket value of shares hares borrowed shall be I to the subscription e of the securities.	ements tained from a financial s liowed to be deducted: 15,050,000 ital atory requirements 73,977,404 15,050,000 from any of the from any of the ses. aggregate amount of t shall be included in arket value of shares hares borrowed shall be I to the subscription e of the securities.

Liquid Capital Statement

for the month of **30-NOV-22**

of M/s. Axis Global Limited

Submission Date 14-DEC-2022 13:03:50

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	176,351	0	176,351
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	181,013,675	16,766,478	16,766,478
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the <u>customer as collateral and the value of securities held as collateral after applying VAR based Haircuts</u> ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
2.11	after applying haircuts.	004 054 404	20 500 705	20,000,050
3.11	Total Ranking Liabilites	201,051,184	30,503,705	30,680,056
3.12	Liquid Capital	-125,439,176	11,861,613	36,280,534

Liquid Capital Statement

for the month of **31-DEC-22**

of M/s. Axis Global Limited

Submission Date 13-JAN-2023 12:18:51

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S.No.	Head of Account	Value in	Hair Cut /	Net Adjusted
S.NO.	Head OF Account		Hair Cut/	
		Pak Rupee	Adjustments	Value
1	A k.			
1	Assets	4 0 47 0 54	4 0 47 0 5 4	
1.1	Property & Equipment Intangible Assets	1,347,351 2,500,000	<u>1,347,351</u> 2,500,000	
1.3	Investment in Govt. Securities	2,300,000	2,000,000	
	(Difference between book value and sale value on the date on the basis of PKRV published by NIFT)			
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	12,296,275	1,844,441	10,451,834
	respective securities whichever is higher. (Provided that if any of these securities are pledged with the			
	securities exchange for base minimum capital requirenment, 100% haircut on the value of eligible securities to the extent of minimum required value of Base minimum capital			
	ii. If unlisted, 100% of carrying value.			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value.			
1.0	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or			
	central depository or any other entity.	0	0	
	(i) 100% of net value, however any excess amount of cash deposited with securities exchange to comply with requirenments of base minimum capital may be taken in the calculation of LC	0	0	
1.9	Margin deposits with exchange and clearing house.	28,978,263	0	28,978,263
1.10	Deposit with authorized intermediary against borrowed securities under SLB.			-,,
1.11	Other deposits and prepayments	1,555,073	1,555,073	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.)			
1.15	Advances and receivables other than trade Receiveables;	4 000 074		4 000 074
	(i) No haircut may be applied on the short term loan to employees provided these loans are secured and due for repayments within 12 months.	1,009,674	0	1,009,674
	(ii) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation .			
	(iii) In all other cases 100% of net value	8,905,733	8,905,733	
1.16	Receivables from clearing house or securities exchange(s)			
	100% value of claims other than those on account of entitlements against trading of securities in all	21,018,214	21,018,214	21,018,214
	markets including MtM gains			
	(Lower of net balance sheet value or value determined through adjustments)			
1.17	(Lower of net balance sneet value of value determined through adjustments) Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	24,657,498	21,222,517	21,222,517
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	24,007,400	21,222,017	21,222,317
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	22,980,921	0	22,980,921
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	44.077.700	40.000.040	40.000.040
	value of securities purchased for customers and held in sub-accounts after applying VAR based	14,677,799	12,228,048	12,228,048
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts			
	vi. In the case of amount of receivables from related parties, values determined after applying	5,158,533	3,916,279	3,916,279
	applicable haircuts on underlying securities readily available in respective CDS account of the related			
	party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts.			
L	Tay by to be days, values determined after apprying val based fialicuts.			

Liquid Capital Statement

for the month of **31-DEC-22**

of M/s. Axis Global Limited

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	(b) Above 30 days but upto 90 days, values determined after applying 50% or var based haircuts			
	whichever is higher. (c) above 90 days 100% haircut shall be applicable.			
1.18	vi. Lower of net balance sheet value or value determined through adjustments Cash and Bank balances			
	i. Bank Balance-proprietory accounts	446,586	0	
	ii. Bank balance-customer accounts iii. Cash in hand	2,435,630	0	2,435,630
1.19	Subscription money against investment in IPO/ offer for sale (asset)			
	(i)No haircut may be applied in respect of amount paid as subscription money provided that shares			
	have not been alloted or are not included in the investments of securities broker.			
	 (ii) In case of Investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% haircuts will be applicable on the value of such securities. (iii) In case of subscription in right shares where the shares have not yet been credited in CDS account, 			
1.00	15% or VAR based haircut whichever is higher, will be applied on Right Shares.	4 47 007 550	74 507 656	404 007 000
1.20	Total Assets	147,967,550	74,537,656	124,687,966
2.1	Liabilities Trade Pavables			
2.1	Trade Payables i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products			
2.2	iii. Payable to customers	26,939,895	0	26,939,895
2.2	Current Liabilities i. Statutory and regulatory dues	208,495	0	208,495
	ii. Accruals and other payables	15.101.776	0	
	iii. Short-term borrowings	10,339,615	0	10,339,615
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for taxation			
	viii. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing ii. Other liabilities as per accounting principles and included in the financial statements			
	iii. Staff retirement benefits			
	Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. (b) Nill in all other cases			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	15,050,000	15,050,000	
2.5	Advance against shares for Increase in Capital of Securities broker:			
	100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
2.6	e. Auditor is satisfied that such advance is against the increase of capital. Total Liabilites	67,639,781	15,050,000	52,589,781
3	Ranking Liabilities Relating to :	01,000,101	10,000,000	02,000,101
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	24,657,498	14,101,291	14,101,291
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
	(Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million)			
	Note: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
	Note: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
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Liquid Capital Statement

for the month of **31-DEC-22**

of M/s. Axis Global Limited

Submission Date 13-JAN-2023 12:18:51

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6 3.7	Amount Payable under REPO Repo adjustment In the case of financie/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	176,351	176,351	176,351
3.9	Dpening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met	235,609,335	18,625,931	18,625,931
3.10	Short selli positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	260,443,184	32,903,573	32,903,573
3.12	Liquid Capital	-180,115,415	26,584,083	39,194,612